

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Robert J. Falster

Art Unit 1765

Serial No. 10/039,196

Filed January 2, 2002

Confirmation No. 7221

For PROCESS FOR PREPARING SINGLE CRYSTAL SILICON HAVING  
IMPROVED GATE OXIDE INTEGRITY

March 6, 2002

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

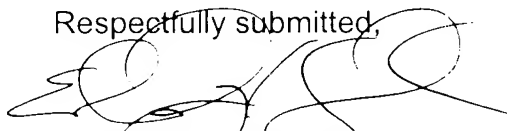
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

In response to the "Notice to File Missing Parts of Application - Filing Date  
\* Granted," dated January 28, 2002, enclosed is applicants' signed Declaration and  
Power of Attorney in the above-referenced application. A copy of the Notice is returned  
herewith.

\* Also enclosed is a check for \$2,356.00 (\$740.00 for the basic filing fee;  
\$1,206.00 for the additional 67 claims; \$280.00 for the multiple dependent claim  
surcharge; \$130.00 in payment of the missing parts surcharge).

Respectfully submitted,



Edward J. Hejlek, Reg. No. 31,525  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

EJH/dep

Express Mail Label No. EV070770805US  
Box Missing Parts

FEE TRANSMITTAL

Application Number 10/039,196  
Filing Date January 2, 2002  
Confirmation No. 7221  
Inventor s Robert J. Falster et al.  
Group Art Unit 1765  
Attorney Docket Number MEMC 00-1400 2806.1

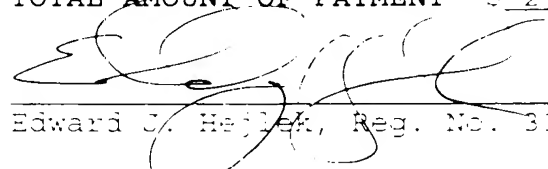
METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.  
  
☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.  
  
☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☒ BASIC FILING FEE Subtotal (1) \$ 741.00  
(Type: Utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$ 1,456.00  
  
Total Claims 37  
Independent Claims 3  
Multiple Dependent Claims X
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 139.00  
  
☒ Surcharge - late filing fee or oath.  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

TOTAL AMOUNT OF PAYMENT \$ 2,336.00

  
Edward J. Hejlek, Reg. No. 31,525

3/6/02  
Date

EJH/dep

Express Mail Label No. EV070770305US



## UNITED STATES PATENT AND TRADEMARK OFFICE

*E. H. D. A.*  
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20503  
 www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10 039,196	01/02/2002	Robert Falster	MEMC 00-1400(2806.1)

CONFIRMATION NO. 7221

## FORMALITIES LETTER



\*OC000000007376641\*

000321  
 SENNIGER POWERS LEAVITT AND ROEDEL  
 ONE METROPOLITAN SQUARE  
 16TH FLOOR  
 ST LOUIS, MO 63102

Date Mailed: 01/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1468.
  - \$1188 for 66 total claims over 20.
  - \$280 for multiple dependent claim surcharge
- The oath or declaration is unsigned
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2338.**

*A copy of this notice MUST be returned with the reply.*

*A. J. Falster*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY APPLICANT COPY